



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Guolin Ma et al.

Serial No.: 10/618,317

Examiner: Steven E. Holton

Filing Date: July 11, 2003

Group Art Unit: 2673

Title: OPTICAL CONDUIT FOR CHANNELING LIGHT ONTO A SURFACE

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☐ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with **either** a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☒ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), **and**
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☒ STATEMENT UNDER 37 CFR 1.97(e) (See two attached statements)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, **or**
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. , filed , now U.S. Patent No. , and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☒ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

08/29/2006 MGE BREM1 00000020 503718 10618317

01 FC:1806 180.00 DA

☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-3718** the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

☒ First Class Mail

☐ "Express Mail Post office to Addressee" service under 37 CFR 1.10.

Express Mail Label No.

Date of Deposit: August 23, 2006

In an envelope addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria VA 22313-1450.

OR

☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: Mariko Mizuno

Signature: 

Respectfully submitted,

Guolin Ma et al.

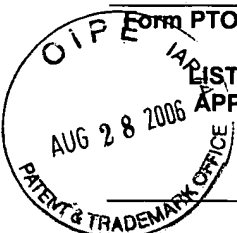
By 

Michael A. Goodwin
Attorney/Agent for Applicant(s)

Reg. No. 32,697

Date: August 23, 2006

Telephone No. (303) 298-9888



Form PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.
10020800-1

SERIAL NO.
10/618,317

APPLICANT
Guolin Ma et al.

FILING DATE
July 11, 2003

GROUP
2673

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | * | DOCUMENT NUMBER | DATE | NAME |
|---------------------|---|--------------------|---------------|----------------|
| | | 6,527,411 | March 4, 2003 | Sayers |
| | | 6,755,556 | June 29, 2004 | Gasquet et al. |
| | | 4,282,560 | Aug. 4, 1981 | Kringel et al. |
| | | 2003/0098852A1 | May 29, 2003 | Huang et al. |
| | | 5,434,754 | July 18, 1995 | Li et al. |
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FOREIGN PATENT DOCUMENT

| | DOCUMENT NUMBER | DATE | NAME | TRANSLATION YES NO | |
|--|--------------------|----------------|----------------------------|-----------------------|---|
| | GB2282700A | April 12, 1995 | John Herbert Brown | | |
| | DE10220890 | Jan. 2, 2003 | Theytaz Olivier et al. | | x |
| | DE10137336A1 | March 6, 2003 | Edwin Mitchell Sayers | | x |
| | EP1338844A1 | Aug. 27, 2003 | Jean-Claude Gasquet et al. | | x |
| | | | | | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|--|---|
| | German Patent Application 102004011987.2-51 Office Action dated July 18, 2006 |
| | English Translation of the German Office Action dated July 18, 2006 for German Patent Application No. 102004011987.2-51 |
| | |

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|-----------------|------------------------|
| EXAMINER | DATE CONSIDERED |
|-----------------|------------------------|

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------------------|---|----------------------------|
| In Re Application of: |) | |
| |) | |
| Guolin Ma et al. |) | |
| |) | |
| Serial No.: 10/618,317 |) | Group Art Unit: 2637 |
| |) | |
| Filed: July 11, 2003 |) | Examiner: Steven E. Holton |
| |) | |
| For: OPTICAL CONDUIT FOR |) | Atty Dkt. 10020800-1 |
| CHANNELING LIGHT ONTO |) | |
| A SURFACE |) | |
| |) | |
| |) | |

STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Neither of the two references US 6,527,411 and US 6,755,556, contained in the information disclosure statement submitted herewith, was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, neither of the patents mentioned above was known to any individual designated in § 1.56(c) more than three months prior to the filing date of the information disclosure statement.

S.N. 10/618,317
Guolin Ma et al.
Atty Dkt. 10020800-1

Respectfully submitted,
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Date: August 23, 2006



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SURFACE)

STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

US 4,282,560; US 2003/0098852A1; US 5,434,754; GB2282700A;
DE10220890; DE10137336A1 and EP 1338844A1, contained in the attached
Information Disclosure Statement, were each first cited in any communication
from a foreign patent office in a counterpart foreign application not more than
three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

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